



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Seok-Hyun Yun, et al.

Examiner: Suchecki, Krystyna

Application No.: 10/072,511

Art Unit: 2882

Filed: February 5, 2002

For: ACOUSTO-OPTIC TUNABLE FILTER)
HAVING IMPROVED WAVE-DAMPING)
CAPABILITY)

Commissioner for Patents
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XXX 37 C.F.R. §1.97(b).

_____ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e) or

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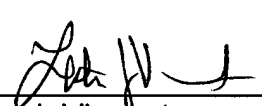
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: Jun 23, 2004



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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/072,511
				Filing Date	February 5, 2002
				First Named Inventor:	Seok-Hyun Yun
				Art Unit	2882
				Examiner Name	Sucheck, Krystyna
Sheet	1	of	2	Attorney Docket Number	5489P001

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US-	4,068,191	1/10/1978	Zemon et al.	
		US-	4,781,425	11/1/1988	Risk et al.	
		US-	4,828,350	5/9/1989	Kim et al.	
		US-	4,832,437	5/23/1989	Kim et al.	
		US-	4,915,468	4/10/1990	Kim et al.	
		US-	4,971,417	11/20/1990	Krinsky et al.	
		US-	5,007,705	4/16/1991	Morey et al.	
		US-	5,708,736	1/13/1998	Steinblatt	
		US-	6,021,237	2/1/2000	Kim et al.	
		US-	6,151,157	11/21/2000	Ball et al.	
		US-	6,151,427	11/21/2000	Satorius	
		US-	6,233,379 B1	05/15/2001	Kim et al.	
		US-	6,253,002 B1	6/26/2001	Kim et al.	
		US-	6,343,165 B1	1/29/2002	Kim et al.	
		US-	6,510,261 B2	1/21/2003	Sorin et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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				Application Number	10/072,511
				Filing Date	February 5, 2002
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				Art Unit	2882
				Examiner Name	Sucheck, Krystyna
Sheet	2	of	2	Attorney Docket Number	5489P001

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US-	6,539,148 B1	3/25/2003	Kim et al.	
		US-	6,631,224 B1	10/7/2003	Sorin et al.	
		US-	6,640,027 B2	10/28/2003	Kim et al.	
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FOREIGN PATENT DOCUMENTS							
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		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change